High-Availability Decentralized Cryptographic Multi-Agent Key Recovery

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Abstract: This paper proposes two versions for the implementation of a novel High-Availability Decentralized cryptographic Multi-agent Key Recovery System (HADM-KRS) that do not require a key recovery centre: HADM-KRSv1 and HADM-KRSv2. They have been enhanced from our previous work and entirely comply with the latest key recovery system in the National Institute of Standards and Technologies (NIST’s) framework. System administrators can specify the minimum number of Key Recovery Agents (KRAs) according to security policies and requirements while maintaining compliance with legal requirements. This feature is achieved by applying the concept of secret sharing and power set to distribute the session key to participating KRAs. It uses the principle of secure session key management with an appropriate design of key recovery function. The system is designed to achieve high availability despite the failure of some KRAs. The performance evaluation results show that the proposed systems incur little processing times. They provide a security platform with good performance, fault tolerance, and robustness in terms of secrecy and availability.

Keywords: Cryptographic key management, secret sharing, key recovery, KRAs.

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1. Introduction

Cryptographic function is an essential component established and implemented to meet security and privacy requirements of network services. However, the dishonest ones can use cryptosystems to conceal their illegal activities. Therefore, it is necessary to develop a key recovery system that meets the requirements of social security by helping to reveal the secret while preserving user privacy.

Starting in 1993, the US government proposed a technology that provides the reconstruction of secret keys, called Key Escrow System (KES) [5]. It provides user privacy and legitimate investigation of any suspected message by government authorities. In February 1994, the US government proposed a standard for KES called the Escrow Encryption Standard (EES) [7, 19]. It specifies the use of the SKIPJACK encryption algorithm, which uses a Law Enforcement Access Field (LEAF) creation method to be implemented in a tamper-resistant chip called Clipper. An authorized government official can obtain the secret encryption key and gain access to the communications. In 1996, the US government announced a regulation on the schemes of commercial key recovery system [26]. The system recovers the secret encryption key by a Key Recovery Agent (KRA) [6, 11]. The session key is encapsulated in the Key Recovery Field (KRF) by the sender for later key recovery as needed. Therefore, it can ensure the protection of user privacy.

Later in 1998, the National Institute of Standards and Technology (NIST) informed an international standard of Key Recovery System (KRS) [25]. It specifies requirements for key recovery products to be used by federal government agencies. These products provide for the recovery of decryption keys when they are not available or the investigation of any suspected message by government authorities is needed.

The key recovery method has been continuously improved in various areas such as trust [3, 20], authentication [1], key management [18], legal access of data but still of user privacy [14], enhancement of system security [15, 16], key recovery for long-term encrypted documents [27], encryption key recovery [8], implementation key recovery in IPSec [23], key recovery attack on the High-Bandwidth Digital Content Protection Protocol [12], and recent year, the number of patents in key recovery system is increasing steadily. Recently, NIST published an important KRS in the framework for designing cryptographic key management system [2]. It proposed a key management function that the key can be recovered by its owner or by an authorized third party after all the rules for recovery have been fulfilled and verified. Key recovery can be achieved by either a single key recovery agent or multiple key recovery agents. A Single-Agent Key Recovery System (S-KRS) can be easily attacked and colluded. Therefore, many researchers resort to designing Multi-Agent Key Recovery System (M-KRS) [15, 16, 22] that refer to a key recovery system that requires the collaboration of at least two KRAs. It can resist various threats such as brute-force attack and collusion of KRAs and enhance the security by reducing any risk of falsification and counterfeiting.
A secret sharing is a typical scheme for distributing a key portion amongst a group of KRAs, each of which is allocated a share of the key or secret. The key can only be reconstructed when the shares are combined together while individual shares are of no use on their own. The M-KRS can provide service by the collaboration of participating KRAs with or without the need of Key Recovery Centre (KRC). The latter can reduce the cost of KRC administration and management and the risk of single point of failure from the unavailability of KRC. The problem of system bottleneck can also be avoided due to the decentralized approach.

The M-KRS researches [14, 16] presented fork and join function for key recovery. The collaboration of at least two KRAs is required to recover the session key. A KRC will act as the coordinating centre for all KRAs within the group. A KRF is created for all KRAs. It contains portions of the session key for later key recovery. The sender can choose two or more KRAs among a pool of KRAs and generates a KRF. When the session key recovery service is requested, the KRF is sent to the KRC. Finally, the KRC joins all portions of the session key to obtain the usable session key. However, many weaknesses persist as follows:

1. The disclosure of the session key to third parties.
2. The risk of single point of failure from the unavailability of KRC and some KRAs since KRC and all KRAs have to participate in the session key recovery process.
3. The lack of a feature to set the minimum number of KRAs for successful session key recovery according to security policies and requirements.
4. The absence of an attack detection function on group authentication of KRAs.

This paper proposes two versions for implementing a novel High Availability Decentralized M-KRS (HADM-KRS) called HADM-KRSv1 and HADM-KRSv2. They have been enhanced from our previous work HADM-KRS [13]. They can work without the involvement of a KRC while retaining the need of law enforcement and resolving the problems incurred in the previous M-KRS’s. This work eliminates the cost of KRC administration and management, and reduces the communication time which is suitable for slow-speed networks. Our contribution is fourfold:

1. HADM-KRSv1 and HADM-KRSv2 can circumvent the problem of single point of failure of a certain number of KRAs. This feature is achieved by applying the concept of secret sharing [17] and power set [10].
2. HADM-KRSv1 and HADM-KRSv2 are a high-availability system that requires the collaboration of participating KRAs without the need of KRC, as an alternative approach to avoid the involvement of third parties.
3. HADM-KRSv1 and HADM-KRSv2 are a high-availability system that requires the collaboration of participating KRAs without the need of KRC, as an alternative approach to avoid the involvement of third parties.
4. HADM-KRSv2 has the ability to specify the minimum number of KRAs (mr) for successful session key recovery, where \( mr \geq 2 \). Therefore, it can be tailored to meet security policies and requirements. This feature is achieved by applying the concept of power set to distribute the secrecy of the session key to all participating KRAs.

The rest of the paper is organised into four main sections. Section 2 proposes new session key recovery systems. Section 3 analyses the capabilities of the proposed systems. Section 4 presents performance evaluations of the proposed systems. The last section concludes the manuscript.

2. Proposed HADM-KRS’s

There are two versions for the implementation of the proposed HADM-KRS as follows:

1. HADM-KRSv1: Simple high availability model.
2. HADM-KRSv2: Secure high availability model that can specify security level.

The proposed systems have been enhanced from our previous work HADM-KRS [13] and fully comply with the latest NIST’s framework [2]. The common features of HADM-KRSv1 and HADM-KRSv2 are as follows:

- **High Secrecy of the Session Key**: The session key is known only to the two communicating parties.
- **High System Availability**: The problem of single point of failure and system bottleneck can be avoided due to the decentralized approach. Also, the failure or the absent of some KRAs does not effect the key recovery service.
- **Detection of Attacks on Group Authentication**: Any KRA that is not in the group can be detected.
- **Cost Effectiveness**: The cost of KRC management and maintenance can be eliminated.

The overview of the proposed HADM-KRS’s is shown in Figure 1. It comprises a sender, a requester either receiver or government agency, and a certain number of KRAs. There are two main processes. The first process is the generation of a KRF by the sender in each session of message encryption. The second process is the recovery of the session key requested by the requester as needed. Every participating KRA is requested for a partial session key recovery. The usable session key is finally disclosed by the requester.
2.1. Generation of the KRF

The generation of a KRF comprises two sub-processes:

1. Initial provision of KRF components and
2. Generation and formation of the KRF. They can be described as follows.

2.1.1. Initial Provision of KRF Components

A KRF is created by the sender during each encryption operation for the readiness of future key recovery if needed. It is sent together with the standard encrypted message ($K_s[M]$). It comprises portions of KRF ($KRF_s$), a hash value of the Share Group Number ($h(SGN)$) and Unique Secret Numbers ($TT_s$). Each $KRF_s$ comprises a portion of the session key ($S_s$), an SGN and other information. The $S_s$ is used to recover $K_s$, while the SGN is used for the authentication of the key recovery group. The SGN is specific to a certain group of KRAs at an instantaneous time. The $TT_s$ are reserved to recover $K_s$ in case some participating KRAs cannot provide the service. Other information is the identification and authentication information stored in the certificate. The initial procedures to construct a KRF are shown in Figure 2, and can be described as follows:

1. Secret sharing is used to split $K_s$ into a number of $S_s$ equalling the number of participating KRAs ($n$). The $K_s$ can then be recovered by articulating all $S_s$ by the exclusive-OR (XOR) operation. This step can strengthen the security of the system and it can always securely recover $K_s$ through the concept of secret sharing to circumvent the collusion of unfaithful KRAs. The procedures are described as follows:
   - Generate $n-1$ random strings, $S_1, S_2, ..., S_{n-1}$ for $Agent_1$ to $Agent_{n-1}$, respectively.
   - Calculate $S_n$ for $Agent_n$ as follows:
     \[ S_n = S_1 \oplus S_2 \oplus ... \oplus S_{n-1} \oplus K_S \]  

2. A random number ($R_i$) for $Agent_i$, for $i=1$ to $n$, are generated. All $R_i$’s are distributed to $Agent_i$, for $i=1$ to $n$.

3. A SGN is obtained by the XOR operation of all $R_i$’s as follows:
   \[ SGN = R_1 \oplus R_2 \oplus ... \oplus R_n \]  

The benefit of creating $SGN$ is to detect non-participating KRAs disguising into the key recovery group to secure $K_S$. When the requester detects an unknown $SGN$, the system is regarded as compromised.

4. A Unique Secret Number of $Agent_i$ ($TT_i$) will be used for the recovery of $S_s$ in case $Agent_i$ cannot provide the session key recovery service. $TT_i$ is calculated as follows:
   \[ TT_i = S_i \oplus SGN \]  

A $h(SGN)$ is obtained from the calculation of SGN value by using a hash function. Although, it has been proved and accepted as secured, a recent research [24], has further improved its strength. When the requester detects an unequal $h(SGN)$, the system is regarded as compromised.

2.1.2. Generation and Formation of the KRF

The proposed system focuses on the security of the session key, the user’s privacy, and the ability to recover the session key despite the failure of some KRAs. These processes can be described as follows:

- **HADM-KRSv1**: The formation of the KRF is improved to enhance the system performance and support law enforcement for message investigation by removing the excess process of the final KRF encryption from our previous work [13]. There are two sub-processes as follows:
  1. $S_s$, $SGN$, and other information are combined to form $KRF$, for $Agent_i$, $KRF_i$ is then encrypted with the public key of $Agent_i$ ($K_{tag_i}$) as follows: $K_{tag_i}[KRF_i] = K_{tag_i}[S_s||SGN||other information]$.  
  2. $K_{tag_i}[KRF_i]$ for every $Agent_i$, $h(SGN)$, and $TT_i$’s are combined to form $KRF$ as follows:
     \[ KRF = K_{tag_i}[KRF_i||h(SGN)||TT_i][] \]  

- **HADM-KRSv2**: An additional feature of HADM-KRSv2 is the ability to specify the minimum
number of KRAs for successful session key recovery to meet security policies and requirements. For example, if a high security level and high availability are required, the minimum number of KRAs or active KRAs should be at least 4 agents and the number of failed KRAs should be at least 2 agents. Therefore, the number of participating KRAs should be at least 6 agents \((n=6)\) to meet the security requirements. The sub-processes are described as follows:

1. Collect a Unique Secret Number \((TT)\) of every neighbour agent of \(Agent_i\) for all \(Agent_i\)’s. This method applies the basic mathematical concept of power set and can be described as follows:

   - Choose the number of participating KRAs \((n)\) from the key recovery group required to recover the session key.
   - Choose the minimum number of KRAs \((mr)\) required for successful session key recovery, where \(mr\) is at least two KRAs to preserve M-KRS.
   - Calculate the number of KRAs \((t)\) required to distribute \(TT\) as follows:

     \[
     t = n - mr \tag{5}
     \]

     - Distribute \(TT\) to the \(t\) consecutive nearest neighbour agents of \(Agent(A_i)\) for all KRAs or for \(i=1\) to \(n\), as follows. Since KRAs are arranged in sequence and in a circular manner, \(Agent_{n}\) is the next neighbour of \(Agent_1\).

     \[
     A_i \rightarrow \{A_{i+1}, A_{i+2}, \ldots, A_{i+n}\} \quad \text{where} \quad i < mr \quad \text{and} \quad i = n
     \]

     \[
     \{A_{i-1}, A_{i-2}, \ldots, A_{i-mr}\} \quad \text{where} \quad i > mr \quad \text{and} \quad j = i - mr
     \]

2. \(S_i\), \(SGN\), \(TT\)’s, and other information are combined to form \(KRF\). Every \(KRF\) for \(Agent_i\) is formed and encrypted with the public key of \(Agent_i\) (\(Ku_{KG}\)) as follows: \(Ku_{KG} [KRF] = Ku_{KG} [S_i \mid SGN \mid TT\)’s \mid other information\]. \(Ku_{KG}[KRF]\) for all \(Agent_i\)’s are combined to form a \(KRF\) as follows:

\[
KRF = Ku_{KG}[KRF]'s
\tag{6}
\]

The \(KRF\) is then sent together with \(K_i[M]\) to the receiver as usual.

### 2.2. Recovery of the Session Key

When the recovery of the session key is required, a partial session key recovery is requested to every participating KRA. The session key recovery process is separated into two phases. The first phase is a partial session key recovery performed by individual KRA to make sure that the session key is secure and private. The second phase is a full session key recovery in which the session key is finally constructed by the requester. They are described as follows.

#### 2.2.1. Partial Session Key Recovery

Every KRA perform a partial session key recovery using its partial KRF \((KRF_i)\) to recover a portion of the session key \((S)\). The overview of the partial session key recovery process is shown in Figure 3. The sub-processes of the partial session key recovery can be described as follows:

![Overview of partial session key recovery](image)

**Figure 3. Overview of partial session key recovery.**

1. Extraction of the \(KRF\) to get \(n\) partial \(KRFs\).
   - The requester extracts the \(KRF\) to get all encrypted partial \(KRFs\) \((Ku_{KG}[KRF_i]’s)\), for \(i=1\) to \(n\).
   - \(Ku_{KG}[KRF_i]’s\) is sent to \(Agent_i\), for \(i=1\) to \(n\).

2. Partial session key recovery at each KRA.
   - \(Agent_i\) decrypts \(Ku_{KG}[KRF]’s\) with its private key \((K_{KG})\) to get \(KRF\), and reveal \(S_i\), \(SGN\), and other information.
   - \(Agent_i\) verifies \(SGN\) and the public key certificate of the requester.
   - \(Agent_i\) encrypts \(S_i\) and \(SGN\) with the public key of the requester \((K_{req})\).
   - \(Agent_i\) sends \(Ku_{KG}[S_i \mid SGN\] to the requester for the compilation and construction of \(K_S\).

In case some KRAs are out of service, all \(S_i\)’s cannot be collected. In this case, the requester will collect the lost portions of the session key from the associated active KRAs as follows:

- **HADM-KRSv1:**
  1. The requester calculates for the lost \(S_i\)’s by adopting TT, of Agent, that cannot deliver \(S_i\) from KRF. Each \(S_i\) is calculated as follows:

     \[
     S_i = TT_i \oplus SGN \tag{7}
     \]

  2. Upon completing the collection of all \(S_i\)’s, the requester can now construct \(K_S\).

- **HADM-KRSv2:**
  1. The requester encrypts the request \((req-S)\) and \(SGN\) with the public key of the next neighbour agent \((K_{nat} of Agent_{nat})\) that can provide key recovery service to obtain \(Ku_{KG}[req-S\mid SGN\] \mid other information\].
  2. The requester sends \(Ku_{KG}[req-S\mid SGN\] to \(Agent_{nat}\) that can provide key recovery service.
3. \( Agent_{req} \) decrypts \( K_{txt}[req-S_i||SGN||other\ information] \) with its private key \((K_{req})\).
4. \( Agent_{req} \) verifies \( SGN \), public key certificate of the requestor, and calculates \( S_i \) as equation 5.
5. \( Agent_{req} \) encrypts \( S_i \) and \( SGN \) with \( K_{txt} \) to obtain \( K_{txt}[S_i||SGN] \).
6. \( Agent_{req} \) forwards \( K_{txt}[S_i||SGN] \) to the requestor.

2.2.2. Full Session Key Recovery

In this phase, the session key is constructed by the requester as shown in Figure 4 and can be described as follows:

- The requester decrypts \( K_{txt}[S_i||SGN] \) with its private key \((K_{req})\) to obtain \( S_i \) and \( SGN \).
- \( S_i \) of \( Agent_i \) is verified using \( SGN \).
- \( K_S \) is calculated as follows:
  \[
  K_S = S_1\oplus S_2\oplus\ldots\oplus S_n
  \]

\[K_{txt}[S_i||SGN]\]

\[
\begin{array}{c}
\text{collect } S_2, S_3, \ldots, S_n \\
\text{verify } SGN \\
\text{calculate } K_S \\
\text{correct}
\end{array}
\]

\[
\begin{array}{c}
\text{collect } S_2, S_3, \ldots, S_n \\
\text{verify } SGN \\
\text{calculate } K_S \\
\text{correct}
\end{array}
\]

Figure 4. Session key recovery by the requester.

3. Capabilities Comparison with the Typical M-KRS

The capabilities comparison of the proposed HADM-KRS's with the typical M-KRS is shown in Table 1. Compared to the typical M-KRS, the proposed HADM-KRSv1 and HADM-KRSv2 are able to avoid the single point of failure of KRAs, detect attacks on group authentication of KRAs, and manage the minimum number of KRAs to set security requirements, while maintaining law enforcement support. They are described in details as follows:

- HADM-KRSv1 and HADM-KRSv2 have an appropriate function of high secrecy of the \( K_S \) without the need of KRC by using a suitable algorithm.
- HADM-KRSv1 and HADM-KRSv2 can avoid the single point of failure since \( K_S \) can be recovered even the failure of some KRAs by using the concept of secret sharing and power set.
- HADM-KRSv1 and HADM-KRSv2 have strong authentication function to detect attacks from non-participating KRAs.
- Finally, HADM-KRSv2 can specify the minimum number of KRAs for successful session key recovery to set the security requirements that do not exist in the typical M-KRS.

<table>
<thead>
<tr>
<th>Capabilities</th>
<th>Typical M-KRS</th>
<th>HADM-KRSv1</th>
<th>HADM-KRSv2</th>
</tr>
</thead>
<tbody>
<tr>
<td>High Secrecy of ( K_s )</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Can Recover ( K_S ) Despite the Failure of Some KRAs</td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Group Authentication of KRAs</td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Can Specify the Minimum Number of KRAs</td>
<td>No</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>Law Enforcement Support</td>
<td>Low</td>
<td>High</td>
<td>High</td>
</tr>
</tbody>
</table>

4. Performance Evaluation

This paper compares the performance of three M-KRS's: Typical M-KRS, HADM-KRSv1, and HADM-KRSv2. A number of measurement experiments were conducted to determine the processing time (in seconds) during the generation of a KRF, the recovery of partial session keys, the recovery of the full session key, and the recovery of lost partial session keys in terms of the number of KRAs \( (n) \). The results are shown in Figures 5 to 8, respectively.

Figure 5. Performance of KRF generation.

Figure 6. Performance of partial session key recovery.

Figure 7. Performance of full session key recovery.

For the generation of a KRF, the recovery of partial session key, and the recovery of full session key,
HADM-KRSv2 requires more processing time than HADM-KRSv1 and typical M-KRS because the KRF contains the distribution of unique secret numbers function to manage the minimum number of KRAs and recover the session key in case of the failure of some KRAs. The processing times of HADM-KRSv1 and HADM-KRSv2 increase more rapidly when the number of agents is more than 10. They incur little processing times and the difference is negligible. The extra time added is not significant for many applications, knowing that the proposed HADM-KRS’s are more robust than the typical M-KRS in terms of secrecy, availability, authenticity, security setting, and legal support.

The last measurement experiment was conducted to determine the processing time (in millisecond: ms) required for the recovery of some lost partial session keys due to the failure of the associated KRAs. The number of participating KRAs \( n \) was set to 4, 6, 8, and 10, respectively. The number of failed KRAs was set to \( n-2 \) so that the system remains two KRAs.

Figure 8 shows the recovery times of lost partial session keys when \( n-2 \) agents fail to provide their partial session keys for session key recovery service. The result shows that the processing time increases slowly in relation to the number of agents.

5. Conclusions

This paper presents two versions for implementing the new HADM-KRS: HADM-KRSv1 and HADM-KRSv2. All aspects of secrecy, security, and performance are considered, including confidentiality, integrity, availability, and response time. The proposed system provides a high-performance security platform, as well as robustness and fault tolerance. This work applies the fundamental concept of secret sharing and power set to distribute the secrecy of session key to all participating KRAs. The proposed system can avoid the single point of failure of some KRAs since it can work despite the failure of some KRAs. In conclusion, the new scheme clearly relies on the group collaboration of KRAs to provide the high secrecy of session key. It provides the ability to detect KRAs that are not legitimate participants, complies with legal requirements, and is based on a well-defined Public Key Infrastructure (PKI). The HADM-KRSv2 provides an additional feature to specify the minimum number of KRAs for successful key recovery.

References


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